

## DECLARATION FOR PATENT APPLICATION

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name. I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled **DIFFUSER MASTER AND METHOD OF MANUFACTURE** which is described and claimed in

- ☐ the attached specification.  
☒ the specification in application Serial No. **09/137,398** filed on **August 20, 1998**  
☐ international (PCT) application No. \_\_\_\_\_ filed on \_\_\_\_\_  
and as amended on \_\_\_\_\_ (if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is known to be material to the patentability of this application in accordance with Title 37, Code of Federal Regulations, §1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, §119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed.

Prior Foreign Application(s)

Priority Claimed

_____	_____	_____	<input type="checkbox"/> Yes <input type="checkbox"/> No
(Number)	(Country)	(Day/Month/Year Filed)	
_____	_____	_____	<input type="checkbox"/> Yes <input type="checkbox"/> No
(Number)	(Country)	(Day/Month/Year Filed)	
_____	_____	_____	<input type="checkbox"/> Yes <input type="checkbox"/> No
(Number)	(Country)	(Day/Month/Year Filed)	

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose information which is known to be material to the patentability of this application as defined in Title 37, Code of Federal Regulations, §1.56 which occurred between the filing date of the prior application and the national or PCT International filing date of this application.

_____	_____	_____
(Application Number)	(Filing Date)	(Status - Patented, Pending, Abandoned)
_____	_____	_____
(Application Number)	(Filing Date)	(Status - Patented, Pending, Abandoned)

I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith: James E. Nilles, Reg. No. 16,663; Andrew J. Nilles, Reg. No. 31,786; James F. Boyle, Reg. No. 33,653; Timothy E. Newholm, Reg. No. 34,400; David D. Stein, Reg. No. 40,828; David G. Luetgen, Reg. No. 39,282; Bryan J. Lempia, Reg. No. 39,746; and Jay G. Durst, Reg. No. 41,723.

Address all telephone calls to Bryan J. Lempia at telephone number (414) 276-0977, facsimile number (414) 276-0982.

Address all correspondence to: **Andrew J. Nilles**  
**NILLES & NILLES, S.C.**  
777 East Wisconsin Avenue, Suite 2000  
Milwaukee, Wisconsin 53202-5345

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of sole or first inventor, if any (given name, family name): **Gajendra D. Savant**

Inventor's signature \_\_\_\_\_ Date **9/8/98**  
Residence: **Torrance, California** Citizenship: **U.S.A.**  
Post Office Address: **3727 West 226th Street**  
**Torrance, California, 90505**

Full name of second joint inventor (given name, family name): **Kevin H. Yu**

Inventor's signature \_\_\_\_\_ Date **9/8/98**  
Residence: **Temple City, California** Citizenship: **U.S.A.**  
Post Office Address: **9708 Daines Drive**  
**Temple City, California 91780**

DECLARATION FOR PATENT APPLICATION - CONTINUED

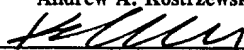
Inventors: Gajendra D. Savant, Kevin H. Yu and Andrew A. Kostrzewski

Title: DIFFUSER MASTER AND METHOD OF MANUFACTURE

Full name of third joint inventor (given name, family name):

Andrew A. Kostrzewski

Inventor's signature



Date

9/11/98

Residence:

Garden Grove, California

Citizenship:

U.S.A.

Post Office Address:

9816 Grouse Court  
Garden Grove, California 92641

CLIENT\POC\100-096.DEC

Applicant/Patentee: Gajendra D. Savant, Kevin H. Yu and Andrew A. Kostrzewski  
Serial or Patent No.: 09/137,398  
Filed or Issued: August 20, 1998  
For: DIFFUSER MASTER AND METHOD OF MANUFACTURE

**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY  
STATUS (37 CFR 1.9(F) AND 1.27(C)) - SMALL BUSINESS CONCERN**

I hereby declare that I am

- ☐ the owner of the small business concern identified below:  
☒ an official of the small business concern empowered to act on behalf of the concern identified below:

NAME OF CONCERN Physical Optics Corporation  
ADDRESS OF CONCERN 20600 Gramercy Place  
Torrance, California 90501

I hereby declare that the above identified small business concern qualifies as a small business concern as defined in 13 CFR 121.12, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees under Section 41(a) and (b) of Title 35, United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that the rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention, entitled DIFFUSER MASTER AND METHOD OF MANUFACTURE by inventors Gajendra D. Savant, Kevin H. Yu, and Andrew A. Kostrzewski described in

- ☐ the specification filed herewith  
☒ application Serial No. 09/137,398, filed August 20, 1998  
☐ patent No. \_\_\_\_\_, issued \_\_\_\_\_

If the rights held by the above identified small business concern are not exclusive, each individual, concern or organization having rights to the invention is listed below\* and no rights to the invention are held by any persons, other than the inventor, who could not qualify as a small business concern under 37 CFR 1.9(d) or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

\*NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

NAME \_\_\_\_\_  
ADDRESS \_\_\_\_\_  
☐ Individual ☐ Small Business Concern ☐ Nonprofit Organization

NAME \_\_\_\_\_  
ADDRESS \_\_\_\_\_  
☐ Individual ☐ Small Business Concern ☐ Nonprofit Organization

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING Joanna L. Jansson  
TITLE OF PERSON OTHER THAN OWNER Chairman and President  
ADDRESS OF PERSON SIGNING 20600 Gramercy Place  
Torrance, California 90501

SIGNATURE  DATE 9/8/98